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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


GP 2711 #6  
AUG - 9 2000  
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PATENT EXAMINER ROOM

In re Patent Application of	)	Group Art Unit: 2711
	)	
TOMOKO TERAOKA ET AL.	)	Examiner: Not Assigned
	)	
Application No. 09/114,352	)	<u>SUPPLEMENTAL</u>
	)	<u>INFORMATION DISCLOSURE</u>
Filed: July 13, 1998	)	<u>STATEMENT</u>
	)	
For: RECEIVING APPARATUS AND	)	2001 Ferry Building
METHOD, TRANSMITTING	)	San Francisco, CA 94111
APPARATUS AND METHOD, AND	)	(415) 433-4150
TRANSMISSION MEDIUM	)	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 4, 2000.

LIMBACH & LIMBACH L.L.P. Date: August 4, 2000

By:   
Name: Kelly A. Bernan

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☐ accompanies the new patent application submitted herewith.  
37 CFR § 1.97(a).

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- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) ☒ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits.
- (d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$240) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and the Issue Fee has not been paid, and is accompanied by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) ☐ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith:

(h) ☒ each (i) ☐ none (j) ☐ only those listed below:

A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

(k) ☒ not given

(l) ☐ given for each listed item

(m) ☐ given for only non-English language listed item(s)  
[Required]

(n) ☐ is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

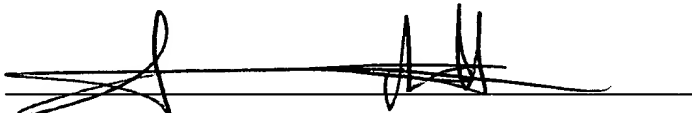
The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

- [x] The Commissioner is hereby authorized to charge our Deposit Account No. 12-1420 for any fees required in connection with the filing of this Information Disclosure Statement. **A duplicate copy of this Notice is enclosed for this purpose.** In particular, in the event that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is authorized to charge the above-named deposit account for any fees required pursuant to CFR §§ 1.17(p) or 1.17(i)(1).

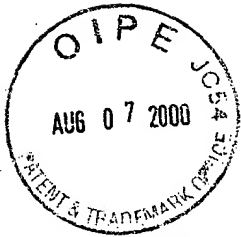
Respectfully submitted,  
LIMBACH & LIMBACH L.L.P.

Dated: 8/14/00 By: 

Seong-Kun Oh  
Recognition under 37 CFR §10.9(b)  
Reg. No. 28,901  
Tel. No. 415/433-4150

Our File: SONY-P8770 (S98P0770US00)

**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**




**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Seong-Kun Oh is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Limbach & Limbach, L.L.P., to prepare and prosecute patent applications in which the patent applicant is a client of the law firm of Limbach & Limbach, L.L.P., and a registered practitioner, who is a member of the law firm of Limbach & Limbach, L.L.P., is the attorney of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Seong-Kun Oh ceases to lawfully reside in the United States, (ii) Seong-Kun Oh's employment with Limbach & Limbach, L.L.P., ceases or is terminated, or (iii) Seong-Kun Oh ceases to remain or reside in the United States and to be authorized to be employed by an Employment Authorization Card issued pursuant to 8 CFR § 274a.12(c)(9).

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: May 15, 2001**

  
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Harry I. Moatz, Director  
Office of Enrollment and Discipline